

11/21/01
JC960 U.S. PTO

Practitioner's Docket No. 939_033

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on November 21, 2001 under "EXPRESS MAIL" mailing label number EV 992152595 US.

Gina M. Husak

Gina M. Husak

JC903 U.S. PTO
09/991253
11/21/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Sugio MIYAZAWA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-cho, Mizuho-ku
Nagoya-shi, Aichi-ken 467-8530
JAPAN

Title: CERAMIC ENVELOPE FOR HIGH INTENSITY DISCHARGE LAMP

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

11 Page(s) of Specification
1 Page(s) of Claims
1 Page(s) of Abstract
3 Sheets of Formal Drawings (Figs. 1-5)

B. Other Papers Enclosed

☒ A Patent Application Bibliographic Data

2. Additional Papers to Follow Under Separate Cover

☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and a certified copy of:
Application 2000-356503 filed November 22, 2000
☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
2-56, Suda-cho, Mizuho-ku
Nagoya-shi, Aichi-ken 467-8530
JAPAN

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

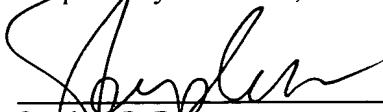
- A. Filing Fee
☒ Original Patent Application \$ 740.00
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
- B. Fees for Claims (3 Claims; 1 Indep.)
☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00)
☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$280.00; small entity--\$140.00)
- C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)
- E. ☒ Assignment Fee \$ 40.00

Total Fees Due \$ 910.00

**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
 - ☐ Any additional filing fees required under 37 CFR 1.16.
 - ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

November 21, 2001

Date

SPB/gmh

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320